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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/660,985

09/12/2003

Berna Erol

015358-009410US

6080

20350

7590

05/22/2006

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EXAMINER

LEWIS, CHERYL RENE

ART UNIT

PAPER NUMBER

2167

DATE MAILED: 05/22/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/660,985	Applicant(s) EROL ET AL.	
	Examiner Cheryl Lewis	Art Unit 2167	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 September 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-76 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-76 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>4/11/05</u> → <u>3/11/06</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-78 are presented for examination.

PRIORITY

2. Applicant has complied and receives the benefit of priority of an earlier filing date to application 60/462,412 filed April 11, 2003.

INFORMATION DISCLOSURE STATEMENT

3. The information disclosure statement filed April 11, 2005 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because reference (AJ) entitled "TELEform V7" is a foreign document. This document was presented for consideration, however the document was not presented in English. It is difficult for the examiner to determine the relevance of this document without having an English translation. It has been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).

4. The information disclosure statement filed March 1, 2004 fails to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each cited foreign patent document; each non-patent literature publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed. It has been placed in the application file, but the information referred to therein has not been considered.

A copy of reference "AM" entitled "Transym OCR engine, <http://www.transym.com>" was not submitted with the Information Disclosure Statement filed on March 1, 2004. This reference has not been considered because a copy of the reference has not been provided and there is no publication date provided for this reference.

Likewise, reference "AP" entitled "WebEx Presentation Studio, presenter.com" has not been considered because the reference does not provide a publication date.

Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 1, 13, 24, 32, 41, 53, 64, and 72 recite 'a first piece of information included in the recorded information'. What is the first piece of information? What type of kind of information is being recorded?

Claims 1, 13, 24, 32, 41, 53, 64, and 72, further recite 'determining at least a first source document from one or more source documents that comprises information that matches the first piece of information'. What is the source document? How is the

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source document being compared and/or matched to a source document that has matching information?

The claim language of these claims are extremely broad and difficult to interpret. The examiner kindly requests the applicant to be more specific in the type of 'information' that is being claimed. Also, kindly provide a written detailed description and functionality of (1) a first piece of information, (2) recorded information, (3) source document, and (4) matching information.

Allowable Subject Matter

7. Claims 3, 6, 7, 12, 14, 17, 18, 23, 26, 31, 40, 43, 46, 47, 52, 54, 57, 58, and 63 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. Claim 1-76 are rejected under 35 U.S.C. 102(b) as being anticipated by Horowitz et al. (Pat. No. 6,122,647 filed May 19, 1998, hereinafter Horowitz).

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10. Regarding Claims 1, 13, 24, 32, 41, 53, 64, and 72, Horowitz teaches determining at least a first source document from one or more source documents that comprises information that matches information (col. 3, lines 1-67, col. 4, lines 1-67, col. 5, lines 1-67, col. 8, lines 1-67, col. 10, lines 1-15); and information identifying the first source document such that the information identifying the first source document can be determined given the information representative of the information (col. 3, lines 1-67, col. 4, lines 1-67, col. 5, lines 1-67, col. 8, lines 1-67, col. 10, lines 1-15); and a first piece of information included in the recorded information; and storing information identifying recorded information (col. 3, lines 1-67, col. 4, lines 1-67, col. 5, lines 1-67, col. 8, lines 1-67, col. 10, lines 1-15), the information identifying the information can be determined given the information identifying the recorded information (col. 3, lines 1-67, col. 4, lines 1-67, col. 5, lines 1-67, col. 8, lines 1-67, col. 10, lines 1-15).

11. Regarding Claims 4, 5, 8-11, 15, 16, 19-22, 25, 27-30, 33-39, 42, 44, 45, 48-51, 55, 56, 59-62, 65-71, and 73-76 the limitations of these claims have been noted in the rejection above for comprising similar claim limitation as the claims presented in the rejection above. They are therefore rejected as set forth above.

NAME OF CONTACT

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cheryl Lewis whose telephone number is (571) 272-4113. The examiner can normally be reached on 6:30-3:00.

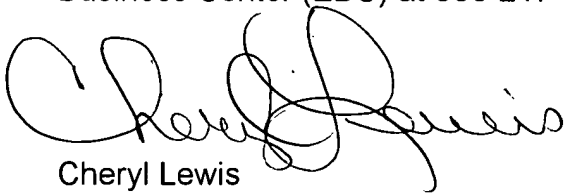
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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached on (571) 272-4107. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

(571) 273-4113 (Use this FAX #, only after approval by Examiner, for "INFORMAL" or "DRAFT" communication. Examiners may request that a formal paper/amendment be faxed directly to them on occasions.).

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist/ Technology Center (571) 272-2100.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A handwritten signature in black ink, appearing to read 'Cheryl Lewis', is written over the printed name.

Cheryl Lewis
Patent Examiner
March 17, 2006